Evaluating Remedial Performance

Lorne Sossin COBA November 4, 2010 "Remedies Panel"

Why Evaluate Public Bodies?

- Tribunals, agencies, boards and commissions are public bodies which spend public dollars in order to fulfill a public mandate set out in legislation. To whom are they accountable for the effectiveness of their remedies? Do those remedies fulfill the statutory mandates of the tribunals?
- The independence of the adjudicative tribunals, and their role in advancing public policy objectives, have been confirmed by the Supreme Court (*Matsqui* (1995) and *Ocean Port* (2001)) Remedies lie at the heart of independence; but does accountability undermine independence?

Criteria for Evaluation

- Fulfillment of statutory purpose
- Quality of reasoning, fact-finding, etc
- Consistency and coherence in decision-making (guidelines, transparency, public legal education)
- Reputation/satisfaction among users, advocates, stakeholders, the public, etc
- Impact of remedial decisions on intended parties (e.g. HPARB decisions on health colleges)
- Value for money, cost effectiveness (e.g. Provincial audits)

Forms of Evaluation

- Annual Report; Parliamentary Oversight
- Independent Oversight (e.g. Auditor General, Integrity Commissioner, Ombudsman)
- Judicial Review
- Ministerial Accountability
- Internal Review, Supervision by Chair
- External Review (consultants, academic studies, etc)
- Media (investigative journalism, etc)

Methodologies for Evaluation

- Tracking decisions, collecting data & monitoring impact
- Exit surveys & questionnaires
- Qualitative studies (interviews and observation)
- Quantitative studies (randomized control studies, etc)
- Comparative studies
- Historical studies
- Normative studies

Playing with Numbers: Cases & Cautionary Tales

- Financial Services Commission/Tribunal Study for Expert Commission on Pensions
- Alberta Public Agencies Governance Act S.A. 2009 c.A-31.5 ("mandates and roles" document) (s.12(a) "Every public agencies shall make all reasonable efforts to fulfill its mandate...")
- Social Benefits Tribunal Provincial Auditor's ODSP Value-for-Money Audit in 2004–2006
- IRB media reports of regional and member inconsistency in rejection/acceptance rates
- Fairness Committee Report on Securities Adjudication (2005)